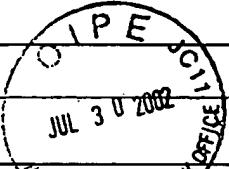


FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Baerveldt, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE January 18, 2002	GROUP 3761



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
7	1	4,559,942	12/24/85	Eisenberg		
	2	4,846,172	07/11/89	Berlin		
	3	5,123,902	06/23/92	Müller, et al.		
	4	5,129,895	07/14/92	Vassiliadis, et al.		
	5	5,688,261	11/18/97	Amirkhanian, et al.		

FOREIGN PATENT DOCUMENTS							TRANSLATION	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
6		WO 99/51156	10/14/99	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

S:\DOCS\JOH\JOH-2603.DOC:dmn  
072502

EXAMINER	<i>Anil</i>	DATE CONSIDERED	<i>01/23/05</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
O I P E INFORMATION DISCLOSURE STATEMENT BY APPLICANT APR 26 2002 (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Baerveldt, et al.	
		FILING DATE January 18, 2002	GROUP 3761

RECEIVED

APR 29 2002

TECHNOLOGY CENTER

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	INTERFILING DATE (IF APPROPRIATE)
P	5,958,266	9/28/99	Fugo, et al.			
	6,352,535	3/5/02	Lewis, et al.			
	8,135,998	10/24/00	Palanker			
	5,486,165	1/23/96	Stegmann			

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES      NO
	0898947	3/3/99	European Patent Office			X

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

O:\DOCS\JOH\JOH-2410.DOC:vb  
041902

EXAMINER	DATE CONSIDERED
Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.	

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

01/29/02

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(USE SEVERAL SHEETS IF NECESSARY)			
APR 15 2002 U.S. PATENT & TRADEMARK OFFICE JC10		APPLICANT Baerveldt, et al.	
		FILING DATE January 18, 2002	GROUP 3761

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
ZP	1	5178604	01/12/93	Baerveldt, et al.			
	2	3844272	10/29/74	Banko			
	3	3996935	12/14/76	Banko			
	4	5472440	12/5/95	Beckman			
	5	6251103	06/26/01	Berlin			
	6	4282884	08/11/81	Boebel			
	7	6142990	11/07/00	Burk			
	8	5722970	03/03/98	Colvard, et al.			
	9	5738677	04/14/98	Colvard, et al.			
	10	5733256	03/31/98	Costin			
	11	5865831	02/02/99	Cozean, et al.			
	12	6007555	12/28/99	Devine			
	13	4694828	09/22/87	Eichenbaum			
	14	6135999	10/24/00	Fanton, et al.			
	15	5755716	05/26/98	Garito, et al.			
	16	6004318	12/21/99	Garito, et al.			
	17	5825958	10/20/98	Gollihar, et al.			
	18	5788679	08/04/98	Gravlee, Jr.			
	19	4320761	03/23/82	Haddad			
	20	5738676	04/14/98	Hammer, et al.			
	21	590661	09/28/1897	Harthan			
	22	3893445	07/08/75	Hofsess			
	23	5980546	11/09/99	Hood			
	24	6059772	05/09/00	Hsia, et al.			
	25	3929123	12/30/75	Jamshidi			
	26	3583390	06/08/71	Jascalevich			
	27	5135295	08/04/92	Jen, et al.			

EXAMINER

DATE CONSIDERED

01/23/05

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Baerveldt, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE January 18, 2002	GROUP 3761

OIE JC  
APR 15 2002 O  
PATENT & TRADEMARK OFFICE

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
ZF	28	4538811	09/03/85	Kelman			
	29	5407443	04/18/95	Kobayashi, et al.			
	30	5549598	08/27/98	Latina			
	31	4900300	02/13/90	Lee			
	32	2850007	09/02/58	Lingley			
	33	6220247	04/24/01	Maldonado Bas			
	34	4577629	03/25/88	Martinez			
	35	3007471	04/27/59	McClure, Jr.			
	36	5957921	09/28/99	Mirhashemi, et al.			
	37	6142996	11/07/00	Mirhashemi, et al.			
	38	6096031	08/01/00	Mitchell, et al.			
	39	4955883	09/11/90	Nevyas, et al.			
	40	5549598	08/27/86	O'Donnell, Jr.			
	41	6086583	07/11/00	Ouchi			
	42	4805616	02/11/89	Pao			
	43	6126629	10/03/00	Perkins			
	44	6140740	10/31/00	Porat, et al.			
	45	5893862	04/13/99	Pratt, et al.			
	46	4590935	05/27/86	Ranalli			
	47	5972416	10/26/99	Reimels, et al.			
	48	5833643	11/10/98	Ross, et al.			
	49	5830209	11/03/98	Savage, et al.			
	50	5074861	12/24/91	Schneider, et al.			
	51	4011869	03/15/77	Seiler, Jr.			
	52	6319274	11/20/01	Shadduck			
↓	53	4501274	02/26/85	Skjaerpe			
—	54	4481948	11/13/84	Sole			

EXAMINER	<i>An S</i>	DATE CONSIDERED	<i>01/23/05</i>
----------	-------------	-----------------	-----------------

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(USE SEVERAL SHEETS IF NECESSARY)			
 APPLICANT Baerveldt, et al.			
FILING DATE January 18, 2002			
GROUP 3761			

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
A	55	5437678	08/01/95	Sorensen			
	56	5871492	02/16/99	Sorensen			
	57	6117149	09/12/00	Sorensen			
	58	5360399	11/01/94	Stegmann			
	59	5743871	04/28/98	Strukel			
	60	6325792	12/04/01	Swinger, et al.			
	61	4689040	08/25/87	Thompson			
	62	5431646	07/11/95	Vassiliadis, et al.			
	63	5893849	04/13/99	Weaver			
	64	4041947	08/16/77	Weiss, et al.			
	65	4570632	02/18/86	Woods			
Y	66	4308875	01/05/82	Young			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES	NO	
A	67	WO 00/64389	11/02/00	PCT			
	68	WO 00/64390	11/02/00	PCT			
	69	WO 00/67687	11/16/00	PCT			
Y	70	WO 98/30157	07/16/98	PCT			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
A	71	H.S. International Co., Inc., Ophthalmic Micro-surgical Instruments. Catalog. Unknown publication date, but predates invention.
	72	Erbium: YAG laser effective for vitreoretinal surgery. Ophthalmology Times, pg. 68, June 15; 2000.
	73	New Procedure helps correct farsightedness. Newspaper Article from The Orange County Register, October 4, 2000.
	74	Millennium TSV25 System, Transconjunctival Standard Vitrectomy. Brochure from Bausch & Lomb, Copyright 2001.
	75	Surgitron, EMC, Catalog. Unknown publication date, but predates invention.
Y	76	The Foundation of The American Academy of Ophthalmology. Basic and Clinical Science Course. Pages 85 through 91.

EXAMINER	<i>Ron [initials]</i>	DATE CONSIDERED	<i>01/23/05</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UCI002.001A	APPLICATION NO. 10/052,473
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Baerveldt, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE January 18, 2002	GROUP 3781

*APR 15 2002*  
*J.C.J.*  
*PATENT & TRADEMARK OFFICE*

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
A	77	The C.V. Mosby Co. St. Louis, Mo.; <i>Retina</i> Vol. 3, Chapter 124, pages 192-195, 1989.	
	78	STORZ Ophthalmics, Catalog, pages 144, 285. Unknown publication date, but predates invention.	
	79	<i>Ophthalmology Times</i> . Unknown publication date, but predates invention date.	
	80	Cautery pens reduce scleral shrinkage. <i>Ophthalmology Times</i> , page 51, August 15, 2000.	
	81	RHEIN Medical Inc. Advertisement. Unknown publication date, but publication predates invention.	
	82	RHEIN Medical Inc. Advertisement. Unknown publication date, but publication predates invention.	
	83	RHEIN Medical Inc. Advertisement. Unknown publication date, but publication predates invention.	
	84	AIDA Excimer Laser for Excimer Laser Trabeculotomy. Catalog. Unknown publication date, but predates invention.	
	85	Katena Eye Instruments. Catalog, page 142. Unknown publication date, but predates invention.	
	86	Microsurgery Advanced Design Lab. <a href="http://www.madlab.lhu.edu/manhan.htm">www.madlab.lhu.edu/manhan.htm</a> , Initiatives, 1 page.	
	87	Endo-optiks; <a href="http://www.endo-optiks.com">www.endo-optiks.com</a> ; Using the Technology, Endoscopic Direct-View Goniotomy, 1 page.	
	88	Gayton, Johnny L., M.D., A 'Smart' Bomb for Glaucoma Treatment?; <a href="http://www.endo-optiks.com/a_smrtbm.htm">www.endo-optiks.com/a_smrtbm.htm</a> ; 4 pages.	
	89	Dynamic Surgery, Cautery Probes, <a href="http://www.dynamicsurgery.com">www.dynamicsurgery.com</a> ; ClearSight Cautery Probes, 4 pages.	
	90	McHugh, Josh; <i>Forbes Magazine</i> , No. 7, "The Electromagnetic Scalpel", October 5, 1998.	
	91	Dietlein T.S., et al., <i>Erbium: YAG Laser Trabecular Ablation (LTA) in the Surgical Treatment of Glaucoma</i> . Lasers Surg Med 23(2) 104-10, 1998	
	92	Maldonado-Bas A., M.D., and Maldonado-Junyent, A., M.D., <i>Filtering Glaucoma Surgery Using an Excimer Laser</i> , J Cataract Refract Surg. 27(9) 1402-9, 2001	
	93	Jacobi, P.C., et al., <i>Microendoscopic Trabecular Surgery in Glaucoma Management</i> . Ophthalmology 106(3) 538-44, 1999	
	94	Jacobi, P.C., et al., <i>Experimental Microendoscopic Photoablative Laser Goniotomy as a Surgical Model for the Treatment of Dysgenetic Glaucoma</i> ; Graefes Arch Clin Exp Ophthalmol 234(1):670-676, 2000	
	95	Mendicino, M.E., et al., <i>Long-term Surgical and Visual Outcomes in Primary Congenital Glaucoma: 360 Degrees Trabeculotomy Versus Goniotomy</i> . J. AAPOS 4(4): 205-210, 2000	
	96	Bayraktar, S., et al., <i>Endoscopic Goniotomy with Anterior Chamber Maintainer: Surgical Technique and One-Year Results</i> . Ophthalmic Surg Lasers Nov-Dec; 32(6):498-502, 2001	
	97	Sun, W., et al., <i>Endoscopic Goniotomy with the Free Electron Laser in Congenital Glaucoma Rabbits</i> . J. Glaucoma 9(4):325-333, 2000	
	98	Dietlein, T.S., et al., <i>Ab Interno Infrared Laser Trabecular Ablation: Preliminary Short-Term Results in Patients with Open-Angle Glaucoma</i> . Graefes Arch Clin Exp Ophthalmol 235(6) 349-353, 1997	
	99	Hofmann, A., et al., <i>Radiowave Surgery Case Report</i> . International Journal of Aesthetic and Restorative Surgery ; Vol 4, Pages 131-133, 2000	
	100	Hill, Richard A., et al., <i>Laser Trabecular Ablation (LTA); Lasers in Surgery and Medicine</i> . 11:341-346, 1991	
	101	Hill, Richard A., et al., <i>Effects of Pulse Width on Erbium: YAG Laser Photothermal Trabecular Ablation (LTA)</i> . Lasers in Surgery and Medicine 13:440-448, 1993	
	102	Skjaerpe, F., Selective Trabeculectomy A Report of a New Surgical Method for Open Angle Glaucoma. ACTA Ophthalmologica 61:714-727, 1983	
	103	Pictures of vitrector. Unknown source and date, but predates invention.	

O:\DOCS\UOHIJOH-2362.DOC  
040202sev

EXAMINER	<i>Anil</i>	DATE CONSIDERED	01/29/05
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			